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126-PCT2-US

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Complete if Known Substitute for form 1449/PTO **Application Number** PCT/CA2004/001484 Filing Date August 11 2004 INFORMATION DISCLOSURE First Named Inventor James B. Jaquith STATEMENT BY APPLICANT Art Unit (Use as many sheets as necessary) **Examiner Name** 

Sheet 1

**Attorney Docket Number** 

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (F known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
ly		<sup>US-</sup> 5,057,614	10-15-1991	Davis et al	
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	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ°
shot		CA 2,409,355	11-22-2001	Fallis et al		
		WO 99/47518 A	09-23-1999	Hoffman-LaRoche		
d		WO 98/04551 A	02-05-1998	Hoffman-LaRoche		
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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INF	ORMATION	DIS	SCLOSURE	Filing Date	August 11 2004
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Sheet	2	of	2	Attorney Docket Number	126-PCT2-US

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
Kf	1	DAVIS, P.D., et al. "Inhibitors of Proteinkinase C.I. 2,3-Bisarylmaleimides". Journal of Medicinal Chemistry, vol. 35, no. 1, 10 January 1992, pages 177-184.	
1	2	DAVIS, P.D., et al." A Mild Conversion of Maleic Anhydrides into Maleimides", Tetrahedron Letters, vol.31, no. 36, 1990, pages 5201-5204	
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<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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Form P	O-1449		Complete if Known	de la
		(0.0)	Application No.:	10/637,599
INFORM	ATION DIS	SCLOSOREP E	Filing Date:	August 11, 2003
STATE	IENT	FEB 2 0 2007	First Named	James B. JAQUITH, et al.
		\2 FEB 2 0 1001	Group Art Unit:	1625
			Examiner Name:	Charanjit AULAKH
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Examiner initials	Cite. No.	Document No.	Date of Publication mm-dd- yyyy	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
RH		5,057,614	10-15-1991	Davis et al.	example 46, column 23, 57-58

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M		WO 01/87887	11-22-2001	Aegera Therapeutics Inc.	entire document		
1		WO 99/47518	09-23-1999	F. Hoffmann- La Roche AG	claims; examples		Translation
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

DISCLOSURE STATEMENT

Sheet 1 of 3

Complete if Known	
Application No.:	10/637,599
Filing Date:	August 11, 2003
First Named Inventor:	James B. JAQUITH et al.
Group Art Unit:	1614
Examiner Name:	
Attorney Docket No :	DAT 209AW-2

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No. No. Publication of		Name of Patentee or Applicant of Cited Document Passages or Relevant Figures Appe
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py		EP 0 328 026	08-16-1989	F. Hoffman- La Roche	page 9, line 11-line 58; claims; example 46		ļ.
		WO 99/47518	09-23-1999	F. Hoffman- La Roche	claims; examples		
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Ky		WO 99/47522	09-23-1999	University of British Columbia	daims; examples		Trans
. 1		EP 0 397 060	11-14-1990	Gödecke:	claim 5		lati
2	_	WO 97/34890	09-25-1997	Eli Lilly & Ço.	page 27; preparation 3		on Is

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nn	Davis, P.D., et al., "A Mild Conversion of Maleic Anhydrides into Meleimides" Tetrahedron Letters, vol. 31, no. 36, 1990, pages 5201-5204, XP001021319

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Sheet 3 of 3	Attorney Docket No.:	PAT 209AW-2		

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161		EP 0 540 956	05-12-1993	F. Hoffman-La Roche	claims; examples 14,		Sh Tra
1		DE 40 05 970	08-29-1991	Boehringer Mannheim	page 8, line 20-line 39, daims; examples 1, 2		nslation
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FEB 2 0 2007 Form PTO-1449 Complete if Known Application No.: Filing Date: August 11, 2003 NFORMATION DISCLOSURE James B. JAQUITH et al. First Named Inventor: STATEMENT Group Art Unit: Examiner Name: Attorney Docket No.: PAT 209AW-2 1 of 3 Sheet

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Rs.		WO 96/31515	10-10-1996	Cephalon, Inc.			<u>e</u>
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		First Named Inventor:	James B. JAQUITH et al.				
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Sheet	3	of	3	Attorney Docket No.:	PAT. 209AW-2

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Examiner Initials	Cite No.	Patent Document No:	Date of Publication mm-dd-yyyy	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear	Ţ	Check it English is attached
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